UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorr	ney Docket No.	03345- P0046A	6
First I	nventor	Johannes Kaeppeler	щ б
Title	CVD Device v Differential Te	with Substrate Holder with emperature Control	0 U.S
Expre	ss Mail Label No.	EL 889 893 913 US	20

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APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents					Mail Stop Patent Application ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA, 22313-1450					
		tal Form (e.g., PTO/SB/17) inal, and a duplicate for fee processing)	7.			CD-ROM or CD-R in Computer Program	n dupl	licate, la		
2		ims small entity status	8.		eotide	and/or Amino Acid ole, all necessary)		•	ubmission	
l <u></u> -	Specification	[Total Pages 11]	a.		•	Computer Readable	Form	n (CRF))	
l		gement set forth below)		b.: §	Specifi	fication Sequence L	isting.	on:		
	- Cross Refer	title of the Invention rences to Related Applications				☐ CD-ROM or C	CD-R	(2 copi	es); or	
	- Reference to	Regarding Fed sponsored R & D o sequence listing, a table,	c.			. 🔲 рарег Statement verifying i	identif	ty of ab	ove conies	
	- Background	er program listing appendix of the Invention	\vdash			CCOMPANYING A			 	
	- Brief Summa	ary of the Invention ption of the Drawings (if filed)	9.	П		Assignment Papers				
	- Detailed Des - Claims(s)		10	\equiv	37	7 CFR 3.73(b) Stat when there is an as	temen	nt	Power of Attorney	
		he Disclosure `	11			wnen inere is an as Inglish Translation I	_	•	•	
4.	Drawings(s) ((35 USC 113) [Total Sheets 3] 12	ㄹ	In	nformation Disclosu Statement (IDS)/PT(ıre	•	Copies of IDS	
5. Oath or	Declaration	[Total Sheets 0] 13	s. 🖂		Preliminary Amendr		5	Chanons	
	a. New	vly executed (original or copy)	14			Return Receipt Post Should be specifica			503)	
		y from prior application (37 CFR 1.63(d)) ontinuation/divisional with Box 18 completed)	15	i. 🔲	C	Certified Copy of Pri	iority [Docume	ent(s)	
	i. DE Si na	ELETION OF INVENTOR(S) igned statement attached deleting inventors(s) amed in the prior application, see 37 CFR 63(d)(2) and 1.33(b).	16	i. 🗌	No U.	lonpublication Requ J.S.C. 122(b)(2)(B)(TO/SB/35 of its equ	uest a (i). Ap	and Cert		
6. 🗌		ata Sheet. See 37 CFR 1.76	17	. 🖂	Of	OtherWO 03/0047	'24 C	over Sh	eet	
18. If a CO	NTINUING API	PLICATION, check appropriate box and supp	ly the	e requis	site info	ormation below and in	the fi	irst sente	ence of the specification	
following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: PCT/EP02/04405 April 22, 2002								05 April 22, 2002		
		n information: Examiner Group/Art Unit:				ority Claimed: DE				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.										
		18. CORRESPON	IDE	NCE A	DDRE	ESS				
☐ Customer Number: 24126							Corre	∍spond∈	ence address below	
Name	+	/. Whitmyer, Jr.				-				
Address		Steward Johnston & Reens LLC								
	986 Bedfo	ord Street				· 	1			
City	Stamford				CT	5.004.0455			06905-5619	
Country	United Sta	ites	Гегер	ohone	20.	3 324-6155	<i>F</i>	ax :	203 327-1096	
Name (Print/	/Туре)	Wesley W. Whitmyer, Jr.	Re	gistrat	ion No	lo. (Attorney/Agent)			33,558	
Signature	ļ	454545	\supset					Date	1/5/04	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 ND 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231-1450.

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770.00

=	FEE TRANSMITTAL
멀	for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision

Applicant claims small entity status, See 37 CFR 1.27 (\$)

TOTAL AMOUNT OF PAYMENT

Complete if Known				
Application No.	- Pending			
Filing Date	January 5, 2004			
First Named Inventor	Johannes Kaeppeler			
Examiner Name				
Art Unit				
Attorney Docket Number	03345-P0046A W/M/W			

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)									
Check Credit Card Money Other None			3. AD	3. ADDITIONAL FEES									
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Acc	posit count ame	St.C	Inge Ste	ward Johnston & Reens	rrc	1052	50	2052	25	Surcharge – cover sheet	Surcharge – late provisional filing or cover sheet		
The Dir	ector is	authoriz	ed to: (c	check all that apply)		1053	130	1053	130	Non-English	specification		
	•	` '	cated bel			1812	2,520	1812	2,520		For filing a request for ex parte reexamination		
) during the pendency of the		1804	920*	1804	920*	Requesting p	publication of SIF tion	₹ prior to	
	_			elow, except for the filir osit account	ng fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
FEE CA	ALCULA	TION				1251	110	2251	55	Extension for	tension for reply within first month		
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Large	Entity	Small	Entity	1		1253	950	2253	475	Extension for	Extension for reply within third month		
Fee	Fee	Fee	Fee	Fee Description	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month			
Code	(\$)	Code	(\$)	•		1255	1,970	2255	985	1	r reply within fifth	month	
1101	770 340	2001 2202	385	Utility filing fee	770.00	1401	330	2401	165	Notice of App			
1002 1003	530	2002	170 265	Design filing fee Plant filing fee	\vdash	1402 1403	330 290	2402 2403	165 145	Request for	support of an ap	ppear	
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1005	160	2005		Provisional filing fee		1452	110	2452	55		vive - unavoidabl		
			SUBTO		770.00	1453	1,330	2453	665	I	vive - unintention		
2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE				1501	1,330	2501	665	ł	ee (or reissue)				
Extra Fee from Claims below Fee Paid			1502	480	2502	240	Design issue						
Total CI	laims	13 -2	20**	0 X =		1503	640	2503	320	Plant issue fe	ее		
Indeper Claims	ndent	1	3**	0 X =		1460	130	1460	130	Petitions to the Commissioner			
Multiple	Depend	dent		0 =			50	1807	50	Processing fee under 37 CFR 1.17(q)			
						1806	180	1806	180	Submission of Information Disclosure Stmt			
Large			all Entity			8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
Fee Code	Fee (\$)	Fee Code	Fee Code	Fee Descript	ion	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1201	18	2202	9	Claims in excess of 20		1810	770	2810	385		ditional invention 7CFR 1.129(b))	to be	
1201	86	2201	43	Independent claims in ex	cess of 3	1801	770	2801	385	Request for (Continued Exami	, ,	
1203	290	2203	145	Multiple dependent claim	•	1802	900	1802	900	Request for e design applic	expedited examination	nation of a	
1204	86	2204	43	43 **Reissue independent claims over original patent Other fee (specify)									
1205	18	2205 9 **Reissue claims in excess of 20 over original patent											
*** or number previously paid, if greater; For Reissues, see above						ed by Bas	sic Filing	Fee Paid	d [SUBTOTAL (3)	(\$)	-0-	
SUBMITTED BY St.Onge Steward Johnston & Reens LLC					LC					Comp	lete (if applica	ble)	
Name (Print/Type) Wesley W Whitmyer, Jr.							Registratio		3	3,558			
Signature (N WW TW) Date 1/5/2004							2004						

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Johannes Kaeppeler					
Serial No Pending	January 5, 2004					
Title of Application:	CVD Device with Substrate Holder w Differential Temperature Control					

Mail Stop Patent Application Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

Cover Sheet For Three Sheets Of Drawings

Attorney for Applicant Wesley W. Whitmyer, Jr., Registration No. 33,558 ST.ONGE STEWARD JOHNSTON & REENS LLC 986 Bedford Street Stamford, CT 06905-5619 203 324-6155